



## Summary

This five-day intensive course enables participants to develop the necessary expertise to audit a Supply Chain Security Management System (SCSMS) and to manage a team of auditors by applying widely recognized audit principles, procedures and techniques. During this training, the participant will acquire the necessary knowledge and skills to proficiently plan and perform internal

### Who should attend?

- Internal auditors
- Senior employees from the Security Departments (operations)
- Professionals from Corporate Management System Audit Function
- Auditors wanting to perform and lead Supply Chain Security Management System Audits
- Corporate Security Office Security Senior Staff
- Project managers or consultants wanting to master the Supply Chain Security Management System audit process
- Persons responsible for the supply chain security or conformity in an organization
- Members of a supply chain security team
- Expert advisors in supply chain security
- Technical experts wanting to prepare for an supply chain security audit function

### Learning objectives

- To acquiring the expertise to perform an ISO 28000 internal audit following ISO 19011 guidelines
- To acquiring the expertise to perform an ISO 28000 certification audit following ISO 19011 guidelines and ISO 17021, ISO 28003 specifications
- To acquiring the necessary expertise to manage a SCSMS audit team
- To understanding the operation of an ISO 28000 conformant Supply Chain Security Management System
- To understand the relationship between a Supply Chain Security Management System, including risk management, controls and compliance with the requirements of different stakeholders of the organization
- To improve the ability to analyze the internal and external environment of an organization, risk assessment and audit decision-making in the context of a SCSMS

This course is certified by RABQSA. Successful graduates have satisfied the training requirement and with auditing experience, can register as an RABQSA certified Lead Auditor.

## Instructor Profile

A certified ISO 9001:2008 lead auditor with RABQSA and IRCA. He has successfully completed RABQSA Certified ISO 14001 Lead Auditor training. He is a lead instructor and consultant for security of the global supply chain using ISO 28000, C-TPAT and ISPS Code. He is also a lead instructor for ISO 9001, ISM Code, Designated Person, Problem Solving workshops and the Ship/Company/Port Facility Security Officer course, which is recognized by USCG/MARAD, as well as several other Flag Administrations. He specializes in training of top management and preparing them for leadership roles. He has lectured in several universities and institutions in the US and abroad on process based management systems, root cause analysis and problem solving.

**Venue:** Fraser Suites / Client site

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and external audits in compliance with IAO 19011 the certification process according to ISO 17021. Based on practical exercises, the participant will develop the skills (mastering audit techniques) and competencies (managing audit teams and audit program, communicating with customers, conflict resolution, etc.) necessary to conduct efficiently an audit.

### Course Outcomes

**At the end of the training, participants should be able to:**

- Understand the background of ISO 28000 and related management systems
- State the purpose, intent and key requirements of ISO 28000 and links to other management
- Identify the roles and responsibilities of Lead Auditor and Auditor
- Plan and execute and ISO 28000 audit
- Report audit findings and who is responsible for corrective action

### Course Content

- Supply Chain Security Management System (SCSMS)
- Presentation of the standards ISO 28000 family of standards and the regulatory and legal framework related to supply chain security
- The standard ISO 28000 and its background
- Why audits are carried out
- The responsibilities of Lead Auditor and Auditor
- Planning and Initiating an ISO 28000 audit
- Conducting an ISO 28000 audit
- How to develop, plan and execute and audit, including opening and closing meetings
- How to report an audit
- Audit procedures: observation, document review, interview, sampling techniques, technical verification, corroboration and evaluation
- Audit test plans
- Formulation of audit findings
- Documenting nonconformities
- How to follow up an audit to close out any findings
- Responsibilities for corrective action and close out of findings
- Syndicate and Practical exercise in audit planning, execution, findings determination and audit techniques
- Examination with Certification on successful completion
- Further development

### CAPTAININDERJIT (IJ) ARORA

IJ earned his Executive MBA from The College of William & Mary. He also has a Master's degree in Defense Studies. He has studied International Transportation at the Old Dominion University, Norfolk. He is an Anti-Submarine Warfare (ASW) graduate. He is also a graduate from the Himalayan Mountaineering Institute (HMI). IJ is presently working toward his doctorate in Leadership-related subjects.

Captain IJ is a US citizen and works internationally throughout North America, Middle East and Asia.



# ISO 28000 Supply Chain Security Management System Awareness Course (2 days)

**1 day for the Senior Staff | 1 day for the Employee Level workforce**

## Module List and Learning Objectives

### General Introduction

#### SCS-LA Intro

- Intro to Management Systems
- Creating the environment for quality
- How management systems cause improvement
- Quality/quality control /quality management defined
- The existence of management systems standards
- Some principles of quality management
- The parts of management systems
- The causes of quality problems
- The importance of management commitment
- Assuring and improving quality with a management system

#### ISO 28000

- Origins and terminology of 28000
- Requirements of 28000
- Appropriate application of 28000

### Analyzing Processes

#### Workshop: Analyzing Processes

Perform business process analysis and identify potential resource and control issues.

#### CTPAT 28000

- CTPAT philosophy and principles
- CTPAT benefits
- CTPAT required processes

### Maritime Security Historic Perspective

- Current security threats and patterns
- History and basis of ISPS Code
- Development and adoption of ISPS Code
- Ship and port operations and conditions
- Threat categories
- Factors that affect vulnerability to various threats

### Maritime Security Policy for SCS

- Legal implications of security personnel actions
- Basic contents and objectives of ISPS Code
- Sensitivity of security related information and communication

### Developing Process - Based Management Systems

- Documentation required for an effective system (avoiding an additional system)
- How these integrated systems earn strong support and commitment from leaders

- Improvement Planning and Quality Planning (changing the system to meet new requirements)
- How the system that is used to run the organization can become its process-based system that conforms to system standards

### Auditing Management Systems

- Can identify the responsibilities of the client, auditee, lead auditor and audit team members Identify
- The responsibilities of the client, auditee, lead auditor and audit team members
- Recognize and use the process approach to system auditing
- How to obtain evidence of top management commitment
- Types of audit the reasons for using each
- Reasons for and the phases of an audit
- Create an effective audit schedule

### Workshop: Points of Security Compromise

- Suggest preventive measures for security
- Identify points where the security can be compromised
- Understand complex supply chains

### Who should attend?

- Top management
- Process Owners
- Functional Managers
- Personnel having a stake in security of the supply chain
- Security Managers
- Systems professionals or those who want to understand and appreciate the supply chain security management system
- Process Improvement specialists
- Members of an information security team
- Auditors

### Course Outcome:

- State the purpose and application of ISO 28000 to the Oil and Gas Industry
- Explain the linkage between the ISO 28000 standard and a Security Management System
- State the purpose, intent and requirements of a Security Management System
- Explain the relationship between ISO 28000 and other programs system/systems e.g. C-TPAT, ISPS, ICAO and EU requirements
- Describe the nature of a Supply Chain, Risk Assessments, and Corrective Action Programs – and how these are addressed within a Security Management System
- Identify the role of management in support of a Security Management System

## Instructor Profile

Ahmed is a lead instructor, consultant and auditor with experience in quality, environmental, health and safety, supply chain security, social responsibility and maritime safety and security gained over the past 8 years. Over the course of his career, he has received extensive training in management systems including ISO 9001, ISO 14001, BS OHSAS 18001, ISO 28000 and SA 8000. He has conducted numerous first, second and third party audits and taught many auditor/lead auditor courses.

Ahmed is a Lead Auditor for Germanischer Lloyd Certification –Middle

**AHMED ABDEL-LATIF HUSSEIN**

East (GLC-ME). He planned, conducted and reported certification audits for Quality (ISO 9001), Environmental (ISO 14001), Supply Chain Security (ISO 28000) and Occupational Health and Safety (BS OHSAS 18001) management systems of various organizations and led many audit teams. Ahmed is currently responsible for certification activities in Egypt, Africa and the Middle East. His lead auditor responsibilities have expanded to include Social Accountability (SA 8000).

**Ahmed is fluent in Arabic and English with a fair knowledge of French.**

**Venue:** Fraser Suites / Client site

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